

	巴哈馬 BAHAMAS	巴巴多斯 BARBADOS	英屬維京羣島 BVI	開曼 CAYMAN	塞浦路斯 CYPRUS	德拉威 DELAWARE	根西 GUERNSEY	香港 HONG KONG	文島 ISLE OF MAN	澤西 JERSEY	盧森堡 LUXEMBOURG	馬爾他 MALTA	毛里求斯 MAURITIUS	尼維斯 NEVIS	巴拿馬 PANAMA	塞舌耳群島 SEYCHELLES	新加坡 SINGAPORE	阿聯酋 UAE	英國 UK	
Eligible Introducer 合格介紹人																				
PRC Eligible Introducer Accepted* 接納的中國合格介紹人*	✓	✓	✓	✓	✓	✓	No	✓	✓	No	✓	No	✓	✓	✓	✓	✓ ⁷	✓	No	
Individual Information Verification 個人信息驗證																				
Name 姓名	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Residential Address 住址	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Phone Number 電話	✓	✓	✓	✓	✓	✓	No	✓	✓	✓	✓	✓	✓	No	✓	✓	✓	✓	No	✓
Fax Number 傳真	✓	✓	✓	✓	✓	✓	No	✓	No	✓	✓	No	✓	No	✓	✓	✓	✓	No	✓
Email 電郵	✓	✓	✓	✓	✓	✓	No	✓	✓	✓	✓	No	✓	✓	✓	✓	✓	✓	No	✓
Nationality 國籍	✓	No	✓	✓	✓	✓	✓ ⁴	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Occupation 職業	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	No	✓	✓	✓	✓	✓	✓
Supporting Documents - Individual 證明文據 - 個人																				
Certified Passport / ID Copy 經證明的護照/身份證複印件	✓	✓ ²	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Certified Copy / Original Residential Address Proof 住址證明	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Professional Reference Letter 推薦信	✓	✓ ³	No	✓	✓	✓	✓ ⁵	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓ ^{5,7}	✓	✓
Supporting Documents - Private Company 證明文據 - 私營公司																				
Certified Certificate of Incorporation 經證明的營業執照	✓	✓ ²	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	No	✓	✓	✓	✓	✓
Certified Memorandum & Articles of Association 經證明的公司大綱和章程	✓	✓ ²	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	No	✓	✓	✓	✓	✓
Certified Register of Directors, Shareholders, Officers 經證明的董事、股東、高管登記簿	✓	No	✓	✓	✓	✓	✓	✓	✓	✓	✓	No	✓	No	✓	✓	✓	✓	✓	✓
Latest Annual Return / Annual Report (if applicable) 最近的年度申報表/年度報告 (如適用)	No	No	✓	✓	No	✓	✓	✓	✓	✓	✓	No	✓	No	No	No	✓	✓	No	✓
Certificate of Incumbency / Good Standing 董事在職 / 存款證明	No	No	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	No	No	✓	✓	✓	✓	No
Supporting Information - Listed Company 證明文據 - 上市公司																				
Listed Company Stock Code 上市公司股票代碼	✓	No	✓	✓	✓	✓	✓	✓	✓	✓	✓	No	✓	✓	No	No	✓ ⁸	✓	✓	✓
Supporting Documents - PRC State Owned Enterprise 證明文據 - 中國國有企業																				
Confirmation of State Owned Enterprise 國有企業確認	✓	No	✓	✓	✓	✓	✓	✓	✓	✓	✓	No	✓	No	No	No	✓	✓	✓	✓
Organizational Chart 組織結構圖	✓	No	✓	✓	✓	✓	✓	✓	✓	✓	✓	No	✓	No	No	No	✓	✓	✓	✓
Anti-Money Laundering Information 反洗錢信息																				
Purpose of Company 公司目的	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Assets to be Held 待持有資產	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	No	✓	✓	✓	✓	✓
Source of Funds 資金來源	✓	No	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Public Filings 公開信息																				
Directors 董事	✓	✓	No	✓	✓	No	✓	✓	✓	No	✓	✓	No ⁵	No	✓	No	✓	✓	✓	✓
Shareholders 股東	No	No	No	No	✓	No	✓	✓	✓	✓	✓	✓	No ⁵	No	No	No	✓	✓	✓	✓
Beneficial Owners 利益所有人	No	No	No	No	No	No	No	No	No	✓ ⁶	✓	No	No ⁵	No	No	No	No	No	No	No
Legislation 法律法規																				
Anti-Money Laundering Legislation 反洗錢法律	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Proceeds of Criminal Conduct Act or equivalent 有組織及嚴重罪行條例	✓	No	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	No	✓	✓	✓	No	✓
Mutual Legal Assistance Treaty with PRC 與中國的《司法互助協定》	✓	✓	✓	No	No	✓	✓	✓	No	No	✓	✓	✓	No	No	✓	✓	✓	No	No
Financial Action Task Force Member Country 反洗錢金融行動特別工作組成員國	✓ ¹	✓ ¹	✓ ¹	✓	No	✓	✓	✓	✓	✓	✓	No	✓	✓ ¹	No	No	✓	✓	No	✓

* If a PRC intermediary qualifies as an Eligible Introducer information on beneficial ownership may not have to be automatically provided

* 如果一家中國中介機構具有合格介紹人的資格, 有關利益所有人的信息無需主動提供

Notes:

- Member of Caribbean Financial Action Task Force
- Certified copy not required
- Business resume (CV) in lieu of professional reference letter
- Former names (if name has been changed) must be disclosed
- Original professional and bank reference letter required
- Information filed with authorities but not available for public inspection
- Copy of practicing license must be provided by intermediary
- Organization chart endorsed by a director of the listed entity